

# PROJECT CONCEPT NOTE CARBON OFFSET UNIT (CoU) PROJECT



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# Project Concept Note (PCN) CARBON OFFSET UNIT (CoU) PROJECT



# **SECTION A. Description of project activity**

A.1. Purpose and general description of Carbon offset Unit (CoU) project activity >>

## **Purpose of the project activity:**

The objective of the large-scale project activity is to register 3 existing lines to a Delhi Metro system operational in NCT of Delhi under phase 1 as UCR project activity. The existing lines would provide new corridors which will be covering new geographical areas within the city.

The total length of Ph-I lines as part of project activity is 65.1 km.

The trains on these lines runs on broad gauge (1676 mm).

As the metro transportation system is more efficient compared to the traditional means of transport in the baseline, the project activity achieves emission reductions through improved efficiency in the transportation achieved and calculated per passenger-kilometre. On average, metro system has lower GHG emissions per passenger-kilometre than those used in the absence of the project activity, hence, results in GHG emission reductions.

# **General Description of the project activity:**

The project Delhi Metro, India Phase 1 MRTS project is located in Delhi.

The details of the Delhi Metro Phase-I are as follows:

- 1. Line 1: Shahdara Rithala Network Length: 22 km
- 2. Line 2: Vishwavidyalaya Central Secretariat Network Length: 11 km
- 3. Line 3: Dwarka Sector 9 Indraprastha Network Length: 32.1 km

# **A.2 Do no harm or Impact test of the project activity>>**

There are social, environmental, economic, and technological benefits which contribute to sustainable development.

# **Social benefits:**

- The safe and efficient mode of transportation features of Delhi Metro ensures the social wellbeing of the region.
- Delhi Metro reduces the travel time of the passengers significantly and, indirectly helps in eliminating traffic congestion on the roads as a result of mode shift by passengers.
- Delhi Metro reduces the exposure of commuters to various gaseous and particulate matter pollutants by road transportation, other than directly reducing the pollution level in the city through efficient utilization of energy (electricity of fossil fuel) as means of power source, instead of burning fossil fuels in the city.
- Delhi Metro also reduces the number of accidents per passengers transported.

# **Environmental benefits:**

- The project replaces the partial grid electricity therefore the equivalent emissions which could have generated are avoided.
- The project undoubtedly contributes to environmental improvement, as it reduces the pollution levels in the city by using electricity instead of fossil fuels in case of Metro.
- The efficient mode of transport means the reduction in consumption of energy resources and hence, conserving the precious natural resources.

#### **Economic benefits:**

- Implementation of metro as whole improves the economic development of the city by facilitating modern and efficient mode of transportation to the city, which reduces the loss of travel time in the current modes of transportation and reducing traffic congestion on the roads. The subsequent impacts of the above benefits lead to the overall economic development of the city and enhancing the positive image of the city with modern infrastructure in place.
- The project will contribute to further economic development, as all the metro facilitate opportunity for the businesses by construction of shopping complexes to serve the passengers and nearby locality. Hence, the project ensures the economic wellbeing of the country.

#### **A.3. Location of project activity >>**

Country: India State: New Delhi Longitude<sup>1</sup>: 28° 38′ 41.2800″ N Latitude: 77° 13' 0.1956'' E

<sup>1</sup> <https://www.latlong.net/place/new-delhi-delhi-india-2441.html>



#### **A.4. Technologies/measures >>**

The Delhi Metro Ph-I MRTS is a 65.1 km of transit system. The metro will run partially underground, partially at grade and partially elevated. Each train will have between 6 and 8 cars and will run frequencies between 3 and 12 minutes depending on lines, time of the day and passenger demand. Trains will be approximately 3.2 m wide modern rolling stock with stainless steel body. The capacity of a 6 car and 8 car broad gauge train is approx. 2,240 and 3,000 passengers respectively. The trains will run at an average speed of 35 kmph and maximum speed of 80 kmph.

Long lasting track structure requiring minimum or no maintenance and ensuring high stability, safety, reliability and comfort is proposed for the MRTS system. The track structure proposed is of two types:

- Ballast less tracks on Viaducts and inside tunnels
- Normal ballasted tracks in depots

Based on the passenger forecasting study in the detailed project report (DPR), the project activity expects a ridership of 41,61,484 in the year 2013 to 53,52,903 in year 2022 for the lines covered in this project activity. Traction system is 25kV AC 50 Hz single phase. The power supply will be sourced from grid sub stations. The auxiliary power will be provided form 33kV power supply distribution system. Cables in tunnel will be of LSOH type while those in grade and elevated will be of HR PVC seat head type.

#### **Scenario existing prior to the project activity:**

In absence of the project activity, continuation of baseline mode of transport would have been prevalent.

# **A.5. Parties and project participants >>**



# **A.6. Baseline Emissions>>**

The baseline scenario is defined as the most likely scenario in the absence of the proposed project activity. As per approved methodology, ACM0016, Version 04, "If the project activity is deemed to be additional, then the baseline scenario is assumed to be the continuation of the use of current modes of transport provided that the project participants can provide an explanation showing that the existing transport system would be sufficient to meet the transportation demand that will be met by the project system.

Baseline is determined as: Continuation of current public and individual transport system has various advantages, as not being involved in considerable risks in technical and financial aspects and does not attract large scale investments at within a short span, like metro project. According to a report by Ministry of Road Transport & Highways, about 87 per cent passenger traffic is carried by road. According to the same report, easy availability, adaptability<sup>2</sup> Outcome Budget 2012-13; Ministry of Road Transport & Highways, Government of India to individual needs and the cost savings are some of the factors which go in favour of road transport. The number of vehicles has been growing at an average pace of around 10 per cent per annum. The share of road traffic in total traffic has grown from 15.4 per cent of passenger traffic in 1950-51 to an estimated 90.2 per cent of passenger traffic by the end of 2009-10. The rapid expansion and strengthening of the road network, therefore, is imperative, to provide for both present and future traffic and for improved accessibility to the hinterland. Thus, from the above description it is evident that the existing transport system is the most plausible baseline scenario for the proposed project activity.

The continuation of current baseline transportation system indicates inefficient and emissions intensive nature of the modes and hence, implementation of the current metro project activity reduces the emissions from the baseline to the extent of passengers transported by project system.



<sup>2</sup> Outcome Budget 2012-13; Ministry of Road Transport & Highways, Government of India

## **A.7. Debundling>>**

This proposed UCR project is not a part of any other large-scale project, therefore the debundling is not applicable.

#### **SECTION B. Application of methodologies and standardized baselines**

#### **B.1. References to methodologies and standardized baselines >>**

#### SECTORAL SCOPE – 07 Transport

Type II - Operation of new rail-based mass rapid transit systems (MRTS),

CATEGORY - ACM0016 - "Mass Rapid Transit Projects", Version 04.0.0, EB 85

(Version 4 has been applied as the baseline has been sourced from the CDM PoA 9863 and the baseline is alike in the proposed UCR project. Therefore, to make consistent terminologies/equations/baseline the version 4 of methodology preferred.

#### **B.2. Applicability of methodologies and standardized baselines >>**







# **B.3. Applicability of double counting emission reductions >>**

The phase 1 of the metro is part of UCR project activity and it is not part of any other GHG scheme. Therefore, the project does not come under double counting. The phase wise demarcation of DMRC project is well documented.

# **B.4. Project boundary, sources and greenhouse gases (GHGs)>>**

The project boundary includes the physical, geographical site(s) of the DMRC phase 1:



 **Emission sources included in or excluded from the project boundary**





#### **B.5. Establishment and description of baseline scenario (UCR Standard or Methodology) >>**

Baseline emissions include the emissions that would have happened due to the transportation of the passengers who use the project activity, had the project activity not been implemented. This is differentiated according to the modes of transport (relevant vehicle categories) that the passengers would have used in the absence of the project.

Baseline emissions are calculated per passenger surveyed. For each passenger surveyed in Delhi Metro, the individual baseline emissions are calculated and multiplied with the individual expansion factor thus getting the baseline emissions of all passengers of the specific week surveyed. These are then multiplied with the total of the passengers of the period to arrive at baseline emissions.

The following steps would be realised:

Step 1: Conduct a survey, following the procedures presented in Appendix 4 of methodology, in which for each surveyed passenger, the trip distance per transport mode that would have taken place in the baseline is determined.

Step 2: Calculate the individual baseline emissions for each surveyed passenger.

Step 3: Apply an individual expansion factor to each surveyed passenger in accordance with the survey sample design, and summarize these to get the total baseline emissions of the period (week) surveyed. To get the annual (or monitoring period) baseline emissions the baseline emissions of the surveyed period (week) are calculated per passenger of the period (week) and multiplied with the total passengers transported per year (or monitoring period).

Step 4: Take the lower limit of the 95% confidence interval as total baseline emissions.

Baseline emissions are calculated as follows:

$$
BE_y = \frac{P_y}{P_{SPER}} \sum_p \left( BE_{p,y} \times FEX_{p,y} \right) \tag{1}
$$

Where:

 $BE<sub>v</sub>$  = Baseline emissions in the year y (gCO<sub>2</sub>)  $BE<sub>p,y</sub>$  = Baseline emissions per surveyed passenger p in the year y (gCO<sub>2</sub>)  $FEX_{p,y}$  = Expansion factor for each surveyed passenger p surveyed in the year y (each surveyed passenger has a different expansion factor)  $P = Total number of passengers in the year y$  $P_{\text{SPER}}$  = Number of passengers in the time period of the survey (1 week)  $P =$  Surveyed passenger (each individual)

 $y = Year of the credibility period$ 

The baseline emission per surveyed passenger p is calculated based on the mode used, the trip distance per mode and the emission factor per mode:

$$
BE_{p,y} = \sum_{i} BTD_{p,i,y} \times EF_{pkm,iy} \times 10^{-6}
$$
 (2)

Where:

 $BE<sub>p,y</sub>$  = Baseline emissions per surveyed passenger p in the year y (gCO<sub>2</sub>)  $EF_{PKM,i,v}$ = Emission factor per passenger-kilometre of mode iin the year y (gCO<sub>2</sub>/PKM)  $BTD<sub>p,i,v</sub> = Baseline trip distance per surveyed passenger p using mode iin the year y (PKM)$  $p =$  Surveyed passenger (each individual)

- $i =$ Relevant vehicle category
- $y = Year of the credibility period$

#### **(1) Criteria for identifying the vehicle categories are as follows:**

- (a) At a minimum, public transport, non-motorised transport and induced traffic have to be included;
- (b) Conditions to include categories with reliable data on fuel consumption and load factors;
- (c) Only include categories that are relevant for the MRTS project. If the project will only generate credits from public transport without modal shift, then passenger cars, taxis and motorcycles need not be included;
- (d) Differentiate relevant fuel types for each category. Diesel, gasoline and gas (CNG or LPG) are listed separately if a minimum of 10 per cent of vehicles of the respective category use such a fuel, while the threshold for zero-GHG-emission7 fuels is minimum 1 per cent. The 10 per cent threshold is justified, as greenhouse gas (GHG) emission differentials between diesel, gasoline and gaseous fuels are less than 20 per cent;
- (e) In case of a system extension, the currently operating system is not included as a vehicle category.

# **Identification of the relevant vehicle categories (modes of transport)**

Following vehicle categories have been identified as the applicable modes of transport in the absence of the project MRTS:

- 1. Buses
- 2. BRT
- 3. Urban rail
- 4. Metro (non-project existing metro)
- 5. Taxi
- 6. Passenger cars;
- 7. Two-wheelers and Motorcycles;
- 8. Auto rickshaws (motorized)
- 9. Bicycle or per foot
- 10. Others

If some vehicle categories are not explicitly identified or do not fit into one of the categories above; they should be entered in the survey as "others". Baseline emissions of this category are counted as 0. The index i is used to identify each relevant vehicle category (mode of transport) included in the analysis. In indirect project emissions, the highest emission factor of all categories is taken if the survey respondent chooses the item "others".

# **(2) Determination of the emission factor per passenger-kilometer (EFPKM,i,y)**

Passenger-kilometer (PKM) is defined as the average passenger trip distance multiplied by the number of passengers. The emission factors per PKM are determined ex ante for each vehicle category. Any change in the occupancy rate of taxis and buses influencing the corresponding emission factors is monitored as leakage. The emission factor per PKM is calculated as follows:

(2.1) Emission factor per PKM for electricity-based transport systems (Existing metro rail):

$$
EF_{PKM,i,x} = \frac{TE_{EL,i,x}}{P_{EL,i,x} \cdot D_{EL,i,x}} \times 10^{6}
$$
\nWhere:  
\nEF\_{PKM,i,x} = E\_{PKM,i,x} = 10^{6} = 1

The total emissions from the existing metro rail category i, TE<sub>EL,i,y</sub>, is calculated, using the 'Tool' to calculate baseline, project and/or leakage emissions from electricity consumption'. When applying the tool, the parameter  $EC_{BL,k,y}$  is taken as the amount of electricity used by the electricity-based vehicle category i for year y, consistent with the transportation of  $PE_{L,i,y}$ passengers along the average distance  $TD_{EL,i.}$ 

(2.2) For fuel-based vehicle categories identified above (bus/taxi/passenger car/Auto rickshaw/motorcycle), the emission factor per PKM is calculated as follows:



 $(2.2.1)$  Determination of the average occupancy rate  $(OC<sub>i</sub>)$ 

The average occupancy rate (OCi) of vehicle category i is determined based on visual occupancy studies for all vehicle categories i. For buses, besides the visual occupancy studies, the occupancy rate can also be based on boarding-alighting studies or electronic smart tickets, with expansion factors for routes served to determine the average occupancy rate along the entire route. For taxis, the driver should not be included.

## **Occupancy rate of taxis/motorcycles or passenger cars:**

Load factor studies for taxis/motorcycles or passenger cars is carried out through visual occupancy as per Appendix 3 of ACM0016. The actual number of passengers excluding the driver of taxis is counted in a given point within a given time period.

The procedures to establish visual occupancy:

- a. Locations, days and times for field study were defined, avoiding days immediately after or before a holiday.
- b. Field data is collected. Coverage of the occupancy counts should be higher than 95% of the number of taxis that cross the checkpoint. One hundred per cent coverage is desired. To control this outcome, a separate vehicle count is advised. Data can be adjusted with the actual count
- c. Occupancy is the number of passengers using the vehicle. The driver is not counted for taxis. Taxis without passengers were counted as no (zero) occupancy;
- d. The total number of vehicles and the total number of passengers was reported. The average occupancy rate of vehicles is the total number of passengers divided by the total number of vehicles in which counts were performed;
- e. The study is realized in different locations of the larger urban zone of the city

The field data for taxi/motorcycles or passenger cars were also collected at all locations whereby simultaneously vehicular count was being carried out by separate data collectors. It was found that in all the locations (survey points), coverage of occupancy points has been higher than 95% of the vehicular count at those survey points.



**In the case of taxis and auto rickshaws, the driver is not included in the study.** The occupancy studies would be conducted as per the guidance provided under Appendices 1, 2 and 3 of the methodology.

Baseline emission estimated as per the above formulas, would determine the total emissions that would have occurred in the absence of the project activity, as a result of baseline trips made by the project passengers. Baseline emissions cover the entire emissions which would have been caused by the project passenger in absence of the project from his trip origin to his trip destination:

(a) The origin and destination of the trip are assumed to be equal for the baseline as for the project case with an exception of induced traffic included only as project but not as baseline trips;

(b) The trip distance and the modes used between O (origin) and D (destination) are however different in the baseline than in the project case;

(c) The trip distance may vary as some passengers using the project MRTS may be willing to make detours due to the higher speed of the MRTS versus conventional bus transport.

To fully capture all the potential changes, the methodology compares emissions per O-D trip of the baseline with emissions per O-D trip of the project. The data to determine O-D mode(s) and distances per mode are derived from a representative survey of project passengers realized annually. Total baseline emissions are calculated thereafter annually based on these parameters, the emissions per pkm and the amount of passengers transported by the project.

(2.2.2) Determination of the emission factors per kilometre ( $EF<sub>KM,i,x</sub>$ )

Differentiate relevant fuel types for each of the relevant road-based vehicle categories identified in Step 1. Vehicles in a vehicle category using diesel, gasoline, biofuel, biofuel blend, electricity or gas (compressed natural gas (CNG) or liquefied petroleum gas (LPG)) should be listed separately.

Estimating emission factor per kilometre based on the fraction of vehicles using a specific fuel type, the consumption of each fuel type and CO2eq emissions per unit of fuel consumed:

$$
EF_{KM,i,x} = \frac{\sum_{n} (SFC_{i,n,x} \cdot NCV_{i,n} \cdot EF_{CO2,n} + SECi, x.EFCO2,X)}{Ni, nx/Ni, x}
$$
 (5)

Where,



x = Most recent calendar year for which data is available, Data not older than three years.

Determining baseline emissions based on the shares of passengers shifted from baseline vehicle categories i to the project urban public system(s) and an average trip distance on each relevant vehicle category. Baseline emissions are estimated as follows:

$$
BE_y = \left(\sum_i (IR_i)^{t+y-1} \times EF_{PKM,i,x} \times D_i \times S_i\right) \times P_y \times 10^{-6}
$$
\n(6)

Where:



The share of passengers Si (%) out of total number of passengers using the project system who have shifted from electricity-based or road-based vehicle categories i to the urban public system(s) established as CDM project activities as well as an average trip distance on each relevant vehicle category Di,y are determined from a survey of the project system by the project developers.(Note: in case of the development of a standardized baseline this parameter remains project specific and, therefore, project proponents, not DNAs, should collect these data).

Surveys conducted in year 1 and year 4 of the first crediting period shall be used to determine: (i) the entry and exit stations for each surveyed passenger to determine the average trip distance on each relevant vehicle category Di,y (ii) the vehicle category from which each surveyed passenger had shifted to determine the share of passengers Si (%) out of total number of passengers using the project system who have shifted from each relevant vehicle category. The data from the survey in year 1 shall be used for the first three years of the first crediting period while the data from the survey in year 4 shall be used until the end of the crediting periods of the project activity.

The total number of passengers shall be monitored annually, which when multiplied by the shares of passengers Si (%) who have shifted from electricity-based or road-based vehicle categories, respective trip distances on these vehicle categories Di,y and emission factors per passengerkilometre EFPKM,i,x are used in equation (4) to calculate baseline emissions.

The technology improvement factors provided in the tool is listed in the following table are applied:



Baseline emission estimated as per the above formulas, would determine the total emissions that would have occurred in the absence of the project activity, as a result of baseline trips made by the project passengers. Baseline trips emissions are calculated based on the distance travelled by the passengers from their trip origin to trip destination and the mode of transport used to make the respective trip. The survey carried out for the purpose of determining the baseline trip distance and modes used, also covers the passenger those would not have made the trip in the absence of the project activity.

The origin and destination of the trip is assumed to be equal for the baseline as for the project case with exception of induced traffic included only as project but not as baseline trips. The trip distance and the modes used between O and D are however different in the baseline than in the project case. The trip distance may vary as some passengers using the project MRTS may be willing e.g. to make detours due to the higher speed of the MRTS versus conventional bus transport. To fully capture all potential changes the methodology thus compares emissions per O-D trip of the baseline with emissions per O-D trip of the project. The data to determine O-D mode(s) and distances per mode are derived from a representative survey of project passengers realized annually. Total baseline emissions are calculated thereafter annually based on these parameters, the emissions per PKM and the amount of passengers transported by the project.



# **Project emissions:**

Project emissions are based on the fuel and/or electricity consumed by the MRTS (direct project emissions) plus emissions caused by project passengers from their trip origin to the entry station of the project and from the exit station of the project to their final destination (indirect project emissions), as illustrated in Figure below.



Project emissions are calculated as follows:

$$
PE_y = DPE_y + IPE_y \tag{7}
$$

Where:

 $PE_{,y}$ , = Project emissions in the year y (tCO<sub>2</sub>)  $DPE<sub>y</sub>$  = Direct project emissions in the year y (tCO<sub>2</sub>)  $IPE<sub>y</sub>$  = Indirect project emissions in the year y (tCO<sub>2</sub>)  $y = Year of the credibility period$ 

# **Determination of direct project emissions (DPEy)**

Case 1: Use of fossil fuels in the project activity transport system (Not Applicable since Fuel consumption is not involved in the project activity).

Case 2: Use of electricity in the project activity transport system (Applicable). If the project activity involves electricity-based transport systems (e.g. electrical railway systems), the emissions from electricity consumption will be based on the "Tool to calculate baseline, project and/or leakage emissions from electricity consumption". The parameter  $PE_{EC,y}$  in the tool corresponds to the direct project emissions from the project transport system in year y (DPEy). Only electricity consumed for train propulsion should be included in rail-based MRTS.

For calculation of direct project emissions which in this case is from the use of electricity in the project activity transport system, "Tool to calculate baseline, project and/or leakage emissions from electricity consumption" is to be used. The parameter  $PE_{EC,y}$  in the tool corresponds to the direct project emissions from the project transport system in year y (DPEy). Only electricity consumed for train propulsion should be included in rail-based MRTS.

$$
PE_{EC,y} = \sum_{j} EC_{PI,j,y} \times EF_{EL,j,y} \times (1 + TDL_{j,y})
$$
\n(8)

Where,

 $PE_{EC, y}$  = Project emissions from electricity consumption in year y (tCO<sub>2</sub>/yr)  $EC_{PI,j,y}$  = Quantity of electricity consumed by the project electricity consumption

$$
EFE_{L,j,y} = Emission factor for electricity generation for source j in year y (tCO2/MWh)
$$



Since electricity for train propulsion will be imported from grid, hence the baseline emission factor has been chosen in accordance with UCR guideline.

The combined emission factor for electricity consumption has been fixed ex ante as follows:



The traction energy will vary and depend on the estimated value from DPR or project feasibility report. Energy at high voltage will be received at Receiving Substation (RSS), internal transmission and distribution loss from RSS to Rolling stock would be recorded and measured.

In MRTS system, the Receiving Substation (RSS) supplies electricity to various lines of the MRTS system (both project and non-project lines). In the event, the RSS supplies dedicatedly to the project line, then the total reading of the meter for traction energy will be monitored and used for the calculation of direct project emissions.

In case the RSS supplies electricity to other lines of the MRTS system along with the project line, then the following formula will be used to calculate traction energy used by project line during the monitoring period:

$$
TE_{CPA,y} = TE_{\text{Total-RSS},y} * \frac{Car - km_{CPA-MRTS,y}}{Car - km_{RSS-Total,y}}
$$

Where,

 $TE_{CPA,y}$  = Traction energy consumed by project MRTS line in year *y*  $TE_{Total-RSS, y}$  = Total traction energy supplied by RSS in year *y* Car-kmCPA-MRTS,y = Total car-km of project MRTS line in year *y* Car-km<sub>RSS-Total,y</sub> = Total car-km supplied traction energy by the RSS in year *y* 



# **Determination of indirect project emissions (IPEy)**

Indirect project emissions are those caused by passengers from their trip origin up to the project activity entry station, and from the project activity exit station up to the trip final destination. The survey realized identifies the origin, the project entry station, the project exit station and the final destination of the passenger and the modes used between the different points, e.g. bicycle from origin to project entry station and taxi from project exit station to final destination. The distances between origin and entry and between exit and destination are calculated based, e.g. on public transit routes, electronic maps and GPS, etc. The emission factors per passenger-kilometre used for indirect project emissions are identical to the baseline passenger-kilometre factors ( $E_{PKM,i,v}$ ).

The following steps would be followed to determine the indirect project emissions:

**Step 1:** A survey conducted, as per Appendix 4 of the Methodology ACM0016, to determine the trip distance per transport mode used to/from the project metro stations.

**Step 2:** Indirect project emissions for each surveyed passenger are calculated as per equation 10.

**Step 3:** Apply to each surveyed passenger an individual expansion factor in accordance with the survey sample design (as defined in Appendix 4 of the Methodology ACM0016) and summarize these to get the total indirect project emissions for the survey period (week). To get the annual (or monitoring period) indirect project emissions the indirect project emissions of the surveyed period (week) are calculated per passenger of the survey period (week) and multiplied with the total passengers transported per year (or period), as per equation 9 below.

**Step 4:** Apply the upper 95% confidence interval to the total indirect project emissions.

$$
IPE_y = \frac{P_y}{P_{SPER}} \sum_{P} (IPE_{p,y} \times FEX_{p,y}) \times 10^{-6} \tag{9}
$$

Where:



The indirect project emissions per surveyed passenger are calculated based on the transport mode used, the trip distance per mode and the emission factor per mode.

$$
IPE_{p,y} = \sum_{i} IPTD_{p,i,y} \times EF_{pkm,i,y} \tag{10}
$$

Where:

 $IPE_{p,y}$  = Indirect project emissions per surveyed passenger p in the year y (g CO<sub>2</sub>)  $IPTD_{\text{pair}} = Indirect project trip distance p per surveyed passenger using mode i in the year y (PKM)$  $EF_{PKM,i,y}$  = Emission factor per passenger-kilometre of mode i in the year y ( $gCO_2/PKM$ )  $i =$  Relevant vehicle category  $p =$ Surveyed passenger  $y = Year of the crediting period$ 



Based on the surveyed passenger and the survey design the corresponding expansion factors are applied to calculate total indirect project emissions. Total indirect project emissions are determined based on the upper limit of the 95% confidence interval as results are based on a sample/survey. The same has been demonstrated in above include the following sources:

- Emissions due to changes of the load factor of taxis and buses of the baseline transport system due to the project;  $(LE_{LFB,y}$  and  $LE_{LFT,y})$
- Emissions due to reduced congestion on affected roads, provoking higher average vehicle speed, plus a rebound effect;  $(LE_{CON,y}).$
- Upstream emissions of gaseous fuels  $(LE_{UP, y})$ .

The impact on traffic (additional trips) induced by the new transport system is included as project emissions and thus is not part of leakage. This is addressed by including, as project emissions, the emissions from the trips of passengers who would not have travelled in the absence of the project.

Leakage emissions are calculated as follows:

$$
LE_y = LE_{LFB,y} + LE_{LFT,y} + LE_{Con,y} + LE_{UP,y}
$$
 (11)

Where:



As a conservative approach, it is assumed that for each components  $LE_{LEB,y}$ ,  $LE_{LET,y}$ ,  $LE_{CON,y}$ , LEUP,y and LEUP,y only the positive value (leading to net emissions) is considered. For ex ante calculation leakage is considered to be zero.

# **Determination of emissions due to change of load factor of buses (LELFB,y)**

The project could have a negative impact on the load factor of the conventional bus fleet. Load factor changes are monitored for the entire city as the potential impact is not necessarily in the proximity of the project MRTS (buses can be used in other parts of the city). The load factor of buses is monitored in the years 1, 4, 7 and 10 of the crediting period, if fixed crediting period is chosen. Leakage from load factor change of buses is only included if the load factor of buses has decreased by more than 10 percentage points comparing the monitored value with the baseline value, and are calculated as:

$$
LE_{LFB,y} = max \left\{ \frac{1}{10^6} \times N_{B,y} \times AD_B \times EF_{km,B,y} \times \left( 1 - \frac{OC_{B,y}}{OC_B} \right); 0 \right\}
$$

Where:



For the purpose of determining the occupancy rate of buses, the study method of visual occupancy is chosen. The monitoring method will be used for the entire project monitoring period.

#### **Determination of emissions due to change of load factor of taxis (LELFT,y)**

The project could have a negative impact on the load factor of taxis. Taxis include cars as well as motorized rickshaws realizing taxi services. For both types of services, the load factor change is monitored separately. Load factor changes are monitored for the entire city as taxis operate all over the city and are not confined to deliver their services in certain areas. The load factor of taxis is monitored in the years 1, 4, 7 and 10 of the crediting period, as the fixed crediting period is chosen. This leakage is calculated as:

$$
LE_{LFT,y} = max \Big\{ N_{T,y} \times AD_T \times EF_{km,T,y} \times \Big( 1 - \frac{OC_{T,y}}{OC_T} \Big) \times \frac{1}{10^6}; 0 \Big\}
$$
 (13)

Where:



The maximum load factor change attributed to taxis is the emission reductions due to passengers switching from taxis to the project (calculated by the emission factor per passenger-kilometre for taxis, the trip distance and the number of passengers transported by the project, which would have used taxis in absence of the project). This maximum condition is established as load factors might worsen citywide also due to factors external to the project and leakage from a load factor change taxis due to the project can at maximum be according to the number of passengers transported by the project who in absence of latter would have taken a taxi.

For the purpose of determining the occupancy rate of taxis, the study method of visual occupancy would be chosen. The monitoring method will be used for the entire project monitoring period.

The parameter emission factor per kilometre of baseline taxis in the year y  $(EF_{KM,T,y})$  is calculated using the equation for  $EF_{KM,i,v}$  presented in the tool "Baseline emissions for modal shift measures in urban passenger transport" section, substituting IEE for T (taxis).

# **Determination of emissions due to a change in load factor of motorized autorickshaws (LELFMR,y)**

Similar to above, the determination of  $LE_{LFMR,v}$  will also be determined in consideration of the same as a public mode of transport. The equation 13 will be used substituting 'T' (taxis) for 'MR' (motorised auto-rickshaws).

# Determination of emissions due to reduced congestion (LE<sub>CON,y</sub>)

The project activity may reduce the number of remaining buses and potentially other vehicles on roads used by mixed traffic and thus also congestion. It is not possible however to determine ex ante if this effect will result in positive leakage emissions (i.e. emissions increase) or negative leakage emissions (i.e. emissions reductions). Two effects resulting from reduced congestion are considered:

- Induced traffic effect (or rebound effect), i.e. more trips of passenger cars on the affected roads.
- Changes in vehicle speed effect, i.e. change of emissions due to reduced or increased speed of cars on affected roads.

In the case that the implementation of the project activity leads to a reduction of road capacity available for individual motorised transport modes, the impact of changes in congestion shall be monitored in the year 1 and 4 of the crediting period. In other cases (e.g. the project provides a new road infrastructure not taken from the existing road space in the city), monitoring of these changes is not required. This change in road capacity available for individual motorised transport modes may result from the reduction of road space due to the implementation of MRTS and/or a potential reduction of traffic flow due to the withdrawal of conventional public transport units as a result of the project activity.

To determine whether road capacity is reduced, the following procedure shall be applied:

# **Determination of the additional road capacity available to motorised transport modes**

The following equation determines the additional road capacity, available to the transport modes remaining in operation, as a result of the implementation of project activity in the year when the project MRTS is intended to reach its planned capacity:

$$
ARS_y = \sum_{y} \frac{BSCR_y}{N_B} \times SRS - \frac{RS_{BL} - RS_{PI}}{RS_{BL}}
$$
 (14)

Where:



25

three years.

The following equation shall be used to determine SRS if no recent and good quality study is available which has calculated this parameter:

$$
SRS = \frac{TD_B \times 2.5}{TD_B \times 2.5 + TD_T + TD_C}
$$
 (15)

Where:



It is assumed that one bus occupies 2.5 times more road space than a personal car or a taxi. For all distance variables, the same vintage of data, the same spatial scope and the same time-span (e.g., one month or one year) is required.

If ARS<sup>y</sup> is negative, leakage emissions due to increased congestion, as a result of the reduced road capacity due to the project activity, shall be quantified as per the calculation of  $LE_{CON,Y}$ . If  $ARS<sub>y</sub>$  is positive,  $LE_{CON,y}$  is assumed to be zero.

The project activity is applicable to rail-based MRTS, the implementation of which has no effect on the road capacity of the urban zone. Apart from that as a result of implementation of the MRTS, few number of bus units are to be retired in the route of the MRTS. Thus, BSCRy is positive, hence  $ARS<sub>y</sub>$  is positive. Thus  $LE<sub>CON,y</sub>$  is assumed to be zero.



# **Emission Reductions:**

## **B.6. Prior History>>**

The project activity has not applied to any other GHG program for generation or issuance of carbon offsets or credits for the said crediting period.

#### **B.7. Changes to start date of crediting period >>**

There is no change in the start date of crediting period.

#### **B.8. Permanent changes from PCN monitoring plan, applied methodology or applied standardized baseline >>**

ACM0016 - "Mass Rapid Transit Projects", Version 04.0.0, EB 85

(Version 4 has been applied as the baseline has been sourced from the CDM PoA 9863 and the baseline is alike in the proposed UCR project. Therefore, to make consistent terminologies/equations/baseline the version 4 of methodology preferred.

#### **B.9. Monitoring period number and duration>>**

First Issuance Period: 10 years, 0 months – 01/01/2013 to 31/12/2022

#### **B.8. Monitoring plan>>**

# **Ex ante calculation of emission reductions**







































































# **Data and parameters to be monitored:**































# **Description of the monitoring plan:**

A survey will be conducted once in the years 1 and 4 of the crediting period during an entire week plus one re-test (for MRTS survey) in the year 1 only. To guarantee that there is no seasonality, and if there was, the way in which it would be approached, the following steps will be taken:

- a. In the first year and while the system is stabilized, a single measurement will be taken and a second measurement will be carried out in a later period (test-retest method), with a sample size of less than half of the initial survey;
- b. With the passenger flows data of the first year, and with the comparison between the first survey and the test-retest, it is defined if there is any seasonality degree in the year. If there is evidence of the same, within each period where there are apparent differences, independent surveys are performed and at the end, the results are compared regarding the emissions difference and the parameters on the use of modes of transport and the average travel distance;
- c. If there are no significant differences between the analysis periods, the measurements of later years will be done only once a year, on the contrary, they will be carried out in the periods in which seasonality is identified;
- d. Independent from the result, at least one measurement in a whole week will always be performed in the years 4 and 7 of the crediting period, and the application of the testretest method in the year 1. The two measurements in the year 1 are done in different periods, one in the first semester of the year and the other in the second semester.

In accordance with methodology, the criteria for identifying if there is any seasonality are the following one:

- A test of mean comparison is carried out between the data reported on the flow of passengers between months, and in the same way, within the weeks of each month;
- A further test consists in the application of a times series model SARIMA, where it is estimated if there is any seasonality degree in the passengers flows, either weekly or monthly. Through the functions of auto-correlation and partial auto-correlation, it is identified if there is any pattern in the data.

PP will employ a dedicated GHG department to monitor the progress of the projects and co-ordinate activities related to project.

# Data storage and archiving

All of the monitoring parameters under the monitoring plan would be kept for 2 years after the end of the crediting period or the last issuance of CoUs for this project activity, whichever is later. A copy of all the data will also be kept at CME head office in safe storage. The monitored data would be presented to the verification agency or DOE to whom verification of emission reductions is assigned. Necessary formats / tables / log sheets etc. would be developed by the project participants for monitoring and recording of the data and would be made part of the registered monitoring protocol.

# Training and maintenance procedures

CME would train the on-site staff on operation and maintenance of the MRTS and adherence to the Monitoring Plan of the project activity.